

AN EFFICIENT ALGORITHM FOR GROUP TESTING WITH RUNLENGTH CONSTRAINTS

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ABSTRACT. In this paper, we provide an efficient algorithm to construct almost optimal (k, n, d) -superimposed codes with runlength constraints. A (k, n, d) -superimposed code of length t is a $t \times n$ binary matrix such that any two 1's in each column are separated by a run of at least d 0's, and such that for any column \mathbf{c} and any other $k - 1$ columns, there exists a row where \mathbf{c} has 1 and all the remaining $k - 1$ columns have 0. These combinatorial structures were introduced by Agarwal *et al.* [1], in the context of Non-Adaptive Group Testing algorithms with runlength constraints.

By using Moser and Tardos' constructive version of the Lovász Local Lemma, we provide an efficient randomized Las Vegas algorithm of complexity $O(tn^2)$ for the construction of (k, n, d) -superimposed codes of length $t = \Theta(dk \log n + k^2 \log n)$. We also show that the length of our codes is shorter, for n sufficiently large, than that of the codes whose existence was proved in [1].

1. INTRODUCTION

In this paper, we devise efficient construction algorithms for (k, n, d) -superimposed codes recently introduced by Agarwal *et al.* in [1] and defined as follows:

Definition 1.1 ([1]). Let k, n, d be positive integers, $k \leq n$. A (k, n, d) -superimposed code is a $t \times n$ binary matrix M such that

- 1) any two 1's in each column of M are separated by a run of at least d 0's,
- 2) for any k -tuple of the columns of M and for any column \mathbf{c} of the *given* k -tuple, it holds that there exists a row $i \in \{1, \dots, t\}$ such that \mathbf{c} has symbol 1 in row i and all the remaining $k - 1$ columns of the k -tuple have symbol 0 in row i .

The number of rows t of M is called the length of the (k, n, d) -superimposed code.

(k, n, d) -superimposed codes were introduced within the context of Non-Adaptive Group Testing algorithms for topological DNA-based data storage, and represent one of the main instruments to derive the strong results obtained therein [1] (see also [14]). The parameter of (k, n, d) -superimposed codes that one wants to optimize (i.e., minimize) is the length t of the code. Indeed, this is the parameter that mostly affects the DNA-based data storage algorithms considered in [1]. Using the probabilistic method the authors of [1] proved that (k, n, d) -superimposed codes

2010 *Mathematics Subject Classification.* 05D40.

Key words and phrases. Lovász Local Lemma, Group Testing, superimposed codes, runlength-constrained codes.

of length $t = O(dk \log n + k^2 \log n)$ exists. They also proved that any (k, n, d) -superimposed code must have length

$$(1) \quad t \geq \min \left(n, \Omega \left(\frac{kd}{\log(kd)} \log n + \frac{k^2}{\log k} \log n \right) \right).$$

In [3] the authors improved some of the results contained in [1] by showing the existence of (k, n, d) -superimposed codes having shorter lengths than the codes of [1].

Both papers [1] and [3] left open the problem of devising an efficient polynomial time algorithm to construct (k, n, d) -superimposed codes of length $t = O(dk \log n + k^2 \log n)$. More precisely, the results of [1] and [3] only imply the existence of $\Theta(n^k)$ -time algorithms for constructing (k, n, d) -superimposed codes of length $t = O(dk \log n + k^2 \log n)$. It is clear that already for moderate values of k , those algorithms are impractical. In view of the relevance of the application scenario considered in [1], it is quite important to have an efficient algorithm for constructing (k, n, d) -superimposed codes of length $t = O(dk \log n + k^2 \log n)$. The purpose of this paper is to provide such an algorithm. We remark that our algorithm produces almost optimal codes (in the asymptotic sense) because of the lower bound (1).

Before going into the technical details, we would like to recall that $(k, n, 0)$ -superimposed codes correspond to the classical superimposed codes (a.k.a. cover free families) introduced in [10, 7], and extensively studied since then. We refer to the excellent survey papers [4, 8] for a broad discussion of the relevant literature, and to the monographs [5, 11] for an account of the applications of superimposed codes to group testing, multi-access communication, data security, data compression, and several other different areas. It is likely that also (k, n, d) -superimposed codes will find applications outside the original scenario considered in [1].

2. PRELIMINARIES

Throughout the paper, the logarithms without subscripts are in base two, and we denote with $\ln(\cdot)$ the natural logarithm. We denote by $[a, b]$ the set $\{a, a + 1, \dots, b\}$. Given integers w and d , a binary (w, d) -vector \mathbf{x} is a vector of Hamming weight w (that is, the number of 1's in \mathbf{x} is equal to w), such that any two 1's in \mathbf{x} are separated by a run of at least d 0's.

We recall, for positive integers $c \leq b \leq a$, the following well-known properties of binomial coefficients:

$$(2) \quad \left(\frac{a}{b} \right)^b \leq \binom{a}{b} \leq \frac{a^b}{b!} \leq \left(\frac{ea}{b} \right)^b,$$

$$(3) \quad \binom{a}{b} \binom{b}{c} = \binom{a}{c} \binom{a-c}{b-c},$$

We shall also need the following technical lemma from [9].

Lemma 2.1 ([9]). *Let a, b, c be positive integers such that $c \leq a \leq b$. We have that*

$$(4) \quad \frac{\binom{a}{c}}{\binom{b}{c}} \leq \left(\frac{a - \frac{c-1}{2}}{b - \frac{c-1}{2}} \right)^c.$$

Finally, we recall here the celebrated algorithmic version of the Lovász Local Lemma for the symmetric case, due to Moser and Tardos [12]. It represents one of the main tools to derive the results of this paper.

Lemma 2.2 ([12]). *Let E_1, E_2, \dots, E_m be events in a probability space, where each event E_i is mutually independent of the set of all the other events E_j except for at most D , and $\Pr(E_i) \leq P$ for all $1 \leq i \leq m$. If $ePD \leq 1$, then $\Pr(\cap_{i=1}^m \overline{E_i}) > 0$. Moreover, a configuration avoiding all events E_i can be effectively found by using an average number of resampling at most m/D .*

3. NEW ALGORITHMS FOR (k, n, d) -SUPERIMPOSED CODES

We aim to efficiently construct (k, n, d) -superimposed codes with a small length. The difficulty faced in [1, 3] was essentially due to the fact that the constraints a $t \times n$ binary matrix has to satisfy in order to be a (k, n, d) -superimposed code involve all the $\binom{n}{k}$ k -tuples of columns of M . Checking whether those conditions are satisfied or not requires time $\Theta(n^k)$. To overcome this difficulty, we use an idea of [7, 10]. That is, we first introduce an auxiliary class of binary matrices where the constraints involve *only* pairs of columns. Successively, we show that for suitably chosen parameters such a class of matrices give rise to (k, n, d) -superimposed codes with small length t . This implies that we need to check the validity of the constraints only for the $\Theta(n^2)$ pairs of columns. This observation and Lemma 2.2, will allow us to provide an efficient algorithm to construct (k, n, d) -superimposed codes.

It is convenient to first consider the following class of (k, n, d) -superimposed codes.

Definition 3.1. A (k, n, d, w) -superimposed code is a (k, n, d) -superimposed code with the additional constraint that each column has Hamming weight w , that is, each column of the code is a binary (w, d) -vector.

We now introduce the auxiliary class of matrices mentioned above.

Definition 3.2. Let n, d, w, λ be positive integers. A $t \times n$ binary matrix M is a (n, d, w, λ) -matrix if the following properties hold true:

- (1) each column of M is a binary (w, d) -vector;
- (2) any pair of columns \mathbf{c}, \mathbf{d} of M have at most λ 1's in common, that is, there are at most λ rows among the t 's where columns \mathbf{c} and \mathbf{d} both have symbol 1.

(n, d, w, λ) -matrices are related to (k, n, d, w) -superimposed codes by way of the following easy result.

Lemma 3.3. *A binary (n, d, w, λ) -matrix M of dimension $t \times n$, with parameter $\lambda = \lfloor (w-1)/(k-1) \rfloor$, is a (k, n, d, w) -superimposed code of length t .*

Proof. The bitwise OR of any set C of $k-1$ columns of M can have at most $(k-1)\lambda = (k-1) \lfloor (w-1)/(k-1) \rfloor < w$ symbols equal to 1 in the same w rows where an arbitrary column $\mathbf{c} \notin C$ has a 1. \square

We now show how to efficiently construct binary (n, d, w, λ) -matrices with a small number of rows. This fact, by virtue of Lemma 3.3, will give us an upper bound on the minimum length of (k, n, d, w) -superimposed codes.

We need the following enumerative lemma from [3]. We include here the short proof to keep the paper self-contained. Since (w, d) -vectors of length t have necessarily $t \geq (w-1)d + w$, we use this inequality throughout the paper.

Lemma 3.4. *Let $V \subseteq \{0,1\}^t$ be the set of all binary (w,d) vectors of length t . Then*

$$|V| = \binom{t - (w-1)d}{w}.$$

Proof. Let A be the set of all distinct binary vectors of length $t - (w-1)d$ and weight w . One can see that $|V| = |A|$ since each vector of V can be obtained from an element $a \in A$ by inserting a run of exactly d 0's between each pair of 1's in a . Conversely, each element of A can be obtained from an element $s \in V$ by removing exactly d consecutive 0's in between each pair of consecutive 1's in s . \square

We are ready to state one of the main results of this paper.

Theorem 3.5. *There exists a $t \times n$ (n,d,w,λ) -matrix with*

$$(5) \quad t = \left[(w-1)d + \frac{\lambda}{2} + \left(\frac{ew}{\lambda+1} \left(w - \frac{\lambda}{2} \right) (e(2n-4))^{\frac{1}{\lambda+1}} \right) \right].$$

Proof. Let M be a $t \times n$ binary matrix, $t \geq (w-1)d + w$, where each column \mathbf{c} is extracted uniformly at random among the set of all distinct binary (w,d) -vectors of length t . Since we are assuming that $t \geq (w-1)d + w$, by Lemma 3.4 we have that

$$\Pr(\mathbf{c}) = \binom{t - (w-1)d}{w}^{-1}.$$

Let $i, j \in [1, n], i \neq j$ and let us consider the event $\bar{E}_{i,j}$ that there exists *at most* λ rows such that both the i -th column and the j -th column of M have the symbol 1 in *each* of these rows. We evaluate the probability of the complementary “bad” event $E_{i,j}$. Hence $E_{i,j}$ is the event that the random i -th and j -th columns \mathbf{c}_i and \mathbf{c}_j have 1 in at least $\lambda + 1$ coordinates. We bound $\Pr(E_{i,j})$ by conditioning on the event that \mathbf{c}_i is equal to a c , where c is a binary (w,d) -vector.

For a subset $S \subset [1, t]$ of coordinates, let $E_{i,j}^S$ be the event that in each coordinate of S the i -th and j -th column have the symbol 1. For a fixed column extraction $\mathbf{c}_i = c$, let A be the set of coordinates where c has 1's. Note that for $S \in \binom{A}{\lambda+1}$, i.e., for a subset S of A of size $\lambda + 1$, it holds that

$$(6) \quad \Pr(E_{i,j}^S | \mathbf{c}_i = c) \leq \frac{\binom{t - (w-1)d - (\lambda+1)}{w - (\lambda+1)}}{\binom{t - (w-1)d}{w}}.$$

We justify (6). Since by assumption c already contains a 1 in each coordinate of $S \subset A$, given that $\mathbf{c}_i = c$ we have the event $E_{i,j}^S$ conditionally reduces to the event that \mathbf{c}_j also has 1's in all the $\lambda + 1$ coordinates in S . Therefore, we only need to upper bound the number of (w,d) -vectors of length t with 1's in the $\lambda + 1$ coordinates of S . Note that each such t -long vector, upon removing exactly d 0's in between each pair of consecutive 1's and the coordinates in S , reduces to a distinct binary vector of length $t - (w-1)d - (\lambda + 1)$ and weight $w - (\lambda + 1)$. It follows that the number of choices for \mathbf{c}_j (such that it has 1's in all the $\lambda + 1$ coordinates in S) is upper bounded by $\binom{t - (w-1)d - (\lambda+1)}{w - (\lambda+1)}$. Then, formula (6) holds.

Therefore, it holds that

$$\Pr(E_{i,j} | \mathbf{c}_i = c) \leq \sum_{S \in \binom{A}{\lambda+1}} \Pr(E_{i,j}^S | \mathbf{c}_i = c)$$

$$(7) \quad = \binom{w}{\lambda+1} \frac{\binom{t-(w-1)d-(\lambda+1)}{w-(\lambda+1)}}{\binom{t-(w-1)d}{w}}.$$

Since the right-hand side of (7) does not depend on the fixed column c , it also holds unconditionally. Hence

$$(8) \quad \Pr(E_{i,j}) \leq \binom{w}{\lambda+1} \frac{\binom{t-(w-1)d-(\lambda+1)}{w-(\lambda+1)}}{\binom{t-(w-1)d}{w}}.$$

Hence, by (8) we have

$$(9) \quad \begin{aligned} \Pr(E_{i,j}) &\leq \binom{w}{\lambda+1} \binom{t-(w-1)d-(\lambda+1)}{w-(\lambda+1)} / \binom{t-(w-1)d}{w} \\ &\stackrel{(i)}{=} \binom{w}{\lambda+1} \binom{w}{\lambda+1} / \binom{t-(w-1)d}{\lambda+1} \\ &\stackrel{(ii)}{\leq} \binom{w}{\lambda+1} \left(\frac{w-\frac{\lambda}{2}}{t-(w-1)d-\frac{\lambda}{2}} \right)^{\lambda+1} \\ &\stackrel{(iii)}{\leq} \left(\frac{ew}{\lambda+1} \right)^{\lambda+1} \left(\frac{w-\frac{\lambda}{2}}{t-(w-1)d-\frac{\lambda}{2}} \right)^{\lambda+1} = P, \end{aligned}$$

where (i) holds due to equality (3) (since $t \geq (w-1)d + w$), (ii) is true due to Lemma 2.1, and finally (iii) holds thanks to inequalities (2).

The number of events $E_{i,j}$ is equal to $n(n-1)/2$. Let us fix an event $E_{i,j}$. The number of dependent events $E_{i',j'}$ (with respect to $E_{i,j}$) is equal to $D = 2n - 4$. Hence, according to Lemma 2.2, if P (as defined in (9)) and $D = 2n - 4$ satisfy $ePD \leq 1$, then the probability that *none* of the “bad” events $E_{i,j}$ occurs is strictly positive. One can see that by setting t as in (5) one indeed obtains

$$ePD = e(2n-4) \left(\frac{ew}{\lambda+1} \right)^{\lambda+1} \left(\frac{w-\frac{\lambda}{2}}{t-(w-1)d-\frac{\lambda}{2}} \right)^{\lambda+1} < 1.$$

We also note that the initial condition $t \geq (w-1)d + w$ is satisfied for this value of t , as given in (5).

Hence, from Lemma 2.2 one can construct a binary (n, d, w, λ) -matrix M whose number of rows t satisfies equality (5). \square

Now, thanks to Lemma 3.3 and Theorem 3.5, we can prove the following result.

Theorem 3.6. *There exists a randomized algorithm to construct a (k, n, d, w) -superimposed code with length*

$$(10) \quad t \leq 1 + (w-1)d + \frac{w-1}{2(k-1)} + \frac{ew(k-1)}{w-1} \left(w - \frac{w-1}{2(k-1)} + \frac{1}{2} \right) (e(2n-4))^{\frac{k-1}{w-1}}.$$

The algorithm requires, on average, time $O(tn^2)$ to construct the code.

Proof. The upper bound (10) on t is derived by substituting the value of $\lambda = \lfloor (w-1)/(k-1) \rfloor$ from Lemma 3.3 into equation (5) of Theorem 3.5, and by using the inequalities $\frac{w-1}{k-1} - 1 \leq \lfloor \frac{w-1}{k-1} \rfloor \leq \frac{w-1}{k-1}$. The time complexity $O(tn^2)$ comes from Lemma 2.2 by noticing that $m/D = n(n-1)/(4n-8) \leq n/3$, for $n \geq 5$. Moreover, the algorithm that one obtains from [12] requires to randomly generate

a matrix, checking if the $\Theta(n^2)$ events $\overline{E}_{i,j}$ are satisfied, and resampling *only on non-satisfied* events. This means that we need to check if the i -th column and the j -th column of the matrix have at most $\lfloor \frac{w-1}{k-1} \rfloor$ 1's in common, that can be done with at most $O(t)$ operations, and resample only over non-satisfied events. Then we need to check only the events that involve columns that have been resampled. Altogether, by Lemma 2.2 this procedure requires $O(tn^2 + n \cdot m/D \cdot t) = O(tn^2)$ elementary operations. \square

Now, we optimize the parameter w in equation (10) to obtain a randomized algorithm for (weight-unconstrained) (k, n, d) -superimposed codes.

Theorem 3.7. *There exists a randomized algorithm to construct a (k, n, d) -superimposed code with length*

$$t \leq d(k-1) \ln(2en) + \frac{\ln(n)}{2} + e^2(k-1)^2 \ln(2en) + \frac{7e^2(k-1)}{2} + d + O(1).$$

The algorithm requires, on average, time $O(tn^2)$ to construct the code.

Proof. Let $w = \lceil 1 + (k-1) \ln(2en) \rceil$. The algorithm described in Theorem 3.6 constructs a (k, n, d, w) -superimposed code which is, clearly, a (k, n, d) -superimposed code. Using the inequalities

$$1 + (k-1) \ln(2en) \leq \lceil 1 + (k-1) \ln(2en) \rceil \leq 2 + (k-1) \ln(2en)$$

we get

$$\ln(2en) \leq \frac{w-1}{k-1} \leq \frac{1 + (k-1) \ln(2en)}{k-1}.$$

Therefore, by (10) of Theorem 3.6 we have that

$$\begin{aligned} t &\leq 1 + ((k-1) \ln(2en) + 1)d + \frac{1 + (k-1) \ln(2en)}{2(k-1)} + \frac{e}{\ln(2en)} \cdot \\ &\quad \cdot (2 + (k-1) \ln(2en)) \left(2 + (k-1) \ln(2en) - \frac{\ln(2en)}{2} + \frac{1}{2} \right) (2en)^{\frac{1}{\ln(2en)}} \\ &\stackrel{(i)}{\leq} 1 + d(k-1) \ln(2en) + d + \frac{1}{2(k-1)} + \frac{\ln(2en)}{2} + \frac{e}{\ln(2en)} \cdot \\ &\quad \cdot (2 + (k-1) \ln(2en)) \left((k-1) \ln(2en) + \frac{3}{2} \right) (2en)^{\frac{1}{\ln(2en)}} \\ &\stackrel{(ii)}{=} 1 + d(k-1) \ln(2en) + d + \frac{1}{2(k-1)} + \frac{\ln(2en)}{2} + \frac{e^2}{\ln(2en)} \cdot \\ &\quad \cdot \left(3 + \frac{7(k-1) \ln(2en)}{2} + (k-1)^2 (\ln(2en))^2 \right) \\ &= 1 + d(k-1) \ln(2en) + d + \frac{1}{2(k-1)} + \frac{\ln(2en)}{2} + \frac{3e^2}{\ln(2en)} + \\ &\quad + e^2(k-1)^2 \ln(2en) + \frac{7e^2(k-1)}{2} \\ &\stackrel{(iii)}{\leq} d(k-1) \ln(2en) + \frac{\ln(n)}{2} + e^2(k-1)^2 \ln(2en) + \frac{7e^2(k-1)}{2} + d + O(1), \end{aligned}$$

where (i) holds due to the fact that $\ln(2en) \geq 2$ for $n \geq 2$, (ii) holds since $(2en)^{\frac{1}{\ln(2en)}} = e$, and (iii) is since $k \geq 2$ and $\ln(2en) \geq 2$. \square

We notice that a widely believed conjecture of Erdős, Frankl and Füredi [7] says that for $k \geq \sqrt{n}$ one has that minimum-length $(k, n, 0)$ -superimposed codes (i.e., classical superimposed codes) have length t equal to n . The current best-known result has been proved in [13] which shows that if $k \geq 1.157\sqrt{n}$ then the minimum length of $(k, n, 0)$ -superimposed codes is equal to n . This last result clearly holds also for arbitrary (k, n, d) -superimposed codes. We also recall the following result obtained in [1].

Remark 3.8 ([1]). *Every (k, n, d) -superimposed codes of length t must satisfy*

$$t \geq \min \{n, 1 + (k - 1)(d + 1)\} .$$

This implies that if $k \geq \frac{n-1}{d+1} + 1$ then $t = n$, so we cannot construct a (k, n, d) -superimposed code of length t that is better than the identity matrix of size $n \times n$.

To properly appraise the value of Theorem 3.7, we recall the following result presented in [1] that provides a lower bound on the minimum length of any (k, n, d) -superimposed codes.

Theorem 3.9 ([1]). *Given positive integers k and n , with $2 \leq k \leq \min\{1.157\sqrt{n}, \frac{n-1}{d+1} + 1\}$, the length t of any (k, n, d) -superimposed code satisfies*

$$t \geq \Omega \left(\frac{kd}{\log(kd)} \log n + \frac{k^2}{\log k} \log n \right) .$$

Therefore, one can see that the construction method provided by our Theorem 3.7, besides being quite efficient, produces codes of almost optimal length.

In [1], the authors provide the following upper bound on the length of (k, n, d, w) -superimposed codes.

Theorem 3.10 ([1]). *There exists a (k, n, d, w) -superimposed code of length t , provided that t satisfies the inequality*

$$n \binom{n-1}{k-1} \left(\frac{w(k-1)}{t - (2d+1)(w-1)} \right)^w < 1 .$$

From Theorem 3.10 one can derive an explicit upper bound on the length of the codes whose existence was showed in [1] when $w = k \ln(n)$ by upper bounding $n \binom{n-1}{k-1}$ with $k \left(\frac{en}{k}\right)^k$. We report here the obtained result.

Theorem 3.11 ([1]). *There exists a randomized algorithm to construct a (k, n, d) -superimposed code with length*

$$(11) \quad t \leq 2dk \ln(n) + k \ln(n) + e^2 k(k-1) \ln(n) - 2d + O(1) .$$

It can be seen that for n sufficiently large, the upper bound on the length t of (k, n, d) -superimposed codes given in our Theorem 3.7 improves on the upper bound given in Theorem 3.10 of [1]. We also point out that the algorithm provided in [1] is a Montecarlo randomized algorithm, in the sense that it gives, with high probability, a (k, n, d) -superimposed code whose length is upper bounded by (11). In order to transform the algorithm given in [1] into a Las Vegas randomized algorithm that *always* outputs a correct (k, n, d) -superimposed code, one can perform the following steps: 1) Generate a random matrix in accordance with the probabilities specified in

Theorem 2 of [1], 2) check whether the matrix satisfies the properties of Definition 1.1 and, if not, repeat the experiment till one obtains a matrix with the desired property. However, it is known that the problem of checking whether a matrix satisfies superimposed-like properties is considered computationally infeasible (see, e.g., [2, 6]) and no algorithm of complexity less than $\Theta(n^k)$ is known. On the other hand, our result provides a randomized algorithm of average time complexity $O(k(k+d)n^2 \ln n)$, that is, polynomial both in n and k , to construct (k, n, d) -superimposed codes of length not greater than that of [1].

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